

JOSEPH W. PRICE
ALBIN H. GESS
FRANKLIN D. UBELL
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

PRICE, GESS & UBELL

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: pgu@pgulaw.com

DECLARATION CLAIMING SMALL ENTITY STATUS

Inventor(s): Albert Alvin Katz, Richard Melbourne Haughton

Title: **LAMP SUPPORT FOR EMERGENCY LIGHT FIXTURE**

Attorney Docket No. BES3-BK17

EXPRESS MAIL LABEL NO. EM342592948US

DATE OF DEPOSIT: April 26, 2000

009540-2849500

Docket No.
BES3-BK17

Issue Date

Invention: **LAMP SUPPORT FOR EMERGENCY LIGHT FIXTURE**

ADDRESS OF CONCERN: 201 East Stevens Avenue, Santa Ana, California 92707

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Richard Melbourne Haughton

TITLE OF PERSON SIGNING

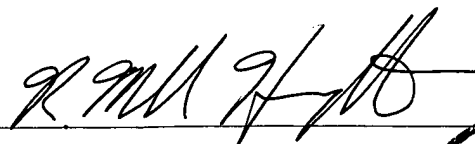
OTHER THAN OWNER:

Chief Financial Officer

ADDRESS OF PERSON SIGNING:

500 E. Oceanfront Avenue, Unit C
Newport Beach, California 92661

SIGNATURE:



C.F.O.

DATE:

APRIL 26, 2000

JOSEPH W. PRICE
ALBIN H. GESS
FRANKLIN D. UBELL
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

PRICE, GESS & UBELL

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: pgu@pgulaw.com

DECLARATION AND POWER OF ATTORNEY

Inventor(s): Albert Alvin Katz, Richard Melbourne Haughton

Title: **LIGHT SUPPORT FOR EMERGENCY LIGHT FIXTURE**

Attorney Docket No. BES3-BK17

EXPRESS MAIL LABEL NO. EM342592948US

DATE OF DEPOSIT: April 26, 2000

003210-26185500

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **LAMP SUPPORT FOR EMERGENCY LIGHT FIXTURE**, the specification of which

(check one)

☒
☐

is attached hereto
was filed on
as Application Serial No.
and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Joseph W. Price, Reg. No. 25,124; Albin H. Gess, Reg. No. 25,726; Franklin D. Ubell, Reg. No. 27,009; Michael J. Moffatt, Reg. No. 39,304; Gordon E. Gray III, Reg. No. 42,602, and Bradley D. Blanche, Reg. No. 38,387, all of the firm Price, Gess & Ubell, 2100 S.E. Main Street, Suite 250, Irvine, California 92614-6238.

Address all telephone calls to Albin H. Gess

at telephone no. (949) 261-8433

Address all correspondence to

Albin H. Gess
Price, Gess & Ubell
2100 S.E. Main Street
Suite 250
Irvine, California 92614-6238

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor Albert Alvin Katz

Inventor's Signature *Albert Alvin Katz* Date APRIL 20TH 2000

Residence Newport Beach, California 92661 Citizenship US

Post Office Address 500 E. Oceanfront Avenue, Unit B
Newport Beach, California 92661

Full name of second joint inventor Richard Melbourne Haughton

Inventor's Signature *R. M. Haughton* Date 4/20/00

Residence Newport Beach, California 92661 Citizenship US

Post Office Address 500 E. Oceanfront Avenue, Unit C
Newport Beach, California 92661